



**State of South Carolina**  
**Request for Proposals**  
**Amendment #1**

Solicitation: 7202021-BANKING  
Date Issued: 08/06/2021  
Procurement Officer: Petrina F. Marsh, CPPB  
Phone: 803.737.2808  
E-Mail Address: [Petrina.Marsh@sclot.com](mailto:Petrina.Marsh@sclot.com)  
Mailing Address: SC Education Lottery  
ATTN: Petrina F. Marsh  
PO Box 11949  
Columbia, SC 29211-1949

DESCRIPTION: **Banking Services**  
USING GOVERNMENTAL UNIT: **South Carolina Education Lottery**

SUBMIT YOUR ELECTRONIC OFFER TO: [Petrina.Marsh@sclot.com](mailto:Petrina.Marsh@sclot.com)

SUBMIT YOUR SEALED OFFER IN THE FORM OF A PHYSICAL HARD COPY TO ONE OF THE FOLLOWING ADDRESSES:

PHYSICAL ADDRESS:  
SC Education Lottery  
Attn: Petrina F. Marsh, CPPB  
1333 Main Street, Suite 400  
Columbia, SC 29201

MAILING ADDRESS:  
SC Education Lottery  
ATTN: Petrina F. Marsh, CPPB  
PO Box 11949  
Columbia, SC 29211-1949

SUBMIT OFFER BY (Opening Date/Time): **08/23/2021 11AM ET** (See "Deadline for Submission of Offer" provision)  
QUESTIONS MUST BE RECEIVED BY: **08/03/2021 11AM ET** (See "Questions From Offerors" provision)  
NUMBER OF COPIES TO BE SUBMITTED: SEE PAGE 3

AWARD &  
AMENDMENTS

SCEL intends to post Award on September 28, 2021. The award, this solicitation, any amendments, and any related notices will be posted at the following web address: <https://www.sceducationlottery.com/Lottery/Procurement>

You must submit a signed copy of this form with Your Offer. By submitting a bid or proposal, you agree to be bound by the terms of the Solicitation. You agree to hold Your Offer open for a minimum of ninety (90) calendar days after the Opening Date.

NAME OF OFFEROR (Full legal name of business submitting the offer)		OFFEROR'S TYPE OF ENTITY: (Check one)  <input type="checkbox"/> Sole Proprietorship <input type="checkbox"/> Partnership <input type="checkbox"/> Corporate entity (not tax-exempt) Tax-exempt corporate entity <input type="checkbox"/> Government entity (federal/state/local) <input type="checkbox"/> Other (See "Signing Your Offer" provision.)
AUTHORIZED SIGNATURE (Person signing must be authorized to submit binding offer to enter contract on behalf of Offeror named above.)		
TITLE (Business title of person signing above)		
PRINTED NAME (Printed name of person signing above)	DATE SIGNED	

Instructions regarding Offeror's name: Any award issued will be issued to, and the contract will be formed with, the entity identified as the offeror above. An offer may be submitted by only one legal entity. The entity named as the offeror must be a single and distinct legal entity. Do not use the name of a branch office or a division of a larger entity if the branch or division is not a separate legal entity, *i.e.*, a separate corporation, partnership, sole proprietorship, etc.

STATE OF INCORPORATION  
(If offeror is a corporation, identify the state of Incorporation.)

TAXPAYER IDENTIFICATION NO.  
(See "Taxpayer Identification Number" provision)

**COVER PAGE**

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(Return Page Two with Your Offer)

HOME OFFICE ADDRESS (Address for offeror's home office / principal place of business)	NOTICE ADDRESS (Address to which all procurement and contract related notices should be sent.) (See "Notice" clause)  <hr style="border: 0; border-top: 1px solid black; margin-bottom: 5px;"/> Area Code   -   Number   -   Extension  <hr style="border: 0; border-top: 1px solid black; margin-bottom: 5px;"/> Facsimile E-mail Address
PAYMENT ADDRESS (Address to which payments will be sent.) (See "Payment" clause)   _____ Payment Address same as Home Office Address _____ Payment Address same as Notice Address (check only one)	ORDER ADDRESS (Address to which purchase orders will be sent) (See "Purchase Orders and "Contract Documents" clauses)

<b>ACKNOWLEDGMENT OF AMENDMENTS</b> Offeror acknowledges receipt of amendments by indicating amendment number and its date of issue. (See							
Amendment No.	Amendment Issue Date	Amendment No.	Amendment Issue Date	Amendment No.	Amendment Issue Date	Amendment No.	Amendment Issue Date

<b>PREFERENCES - A NOTICE TO VENDORS (SEP. 2009): Preferences do not apply to Request for Proposals per SC Consolidated Procurement Code Section 11-35-1524, e (5)</b>
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<b>PREFERENCES - ADDRESS AND PHONE OF IN-STATE OFFICE: PREFERENCES - A NOTICE TO VENDORS (SEP. 2009): Preferences do not apply to Request for Proposals per SC Consolidated Procurement Code Section 11-35-1524, e (5)</b>
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**REQUEST FOR PROPOSALS (RFP)**  
**SOLICITATION NUMBER 7202021- BANKING**  
**RESPONSES TO QUESTIONS RECEIVED PRIOR TO THE DEADLINE**  
**FOR OFFERORS TO SUBMIT QUESTIONS, AUGUST 3, 2021**

**QUESTIONS FROM OFFERORS - AMENDMENT (MODIFIED)**

The solicitation is amended as provided herein. Information or changes resulting from questions will be shown in a question-and-answer format. All questions received have been reprinted below. "SCEL's RESPONSE" should be read without reference to the questions. The questions are included solely to provide a cross-reference to the potential Offeror that submitted the question. Questions do not form a part of the contract; "SCEL's RESPONSE" does. Any restatement of part or all of an existing provision of the solicitation in an answer does not modify the original provision.

**GENERAL QUESTIONS - TD BANK, N.A.**

1. How many administrators are needed for online banking?

**SCEL RESPONSE – SCEL currently authorizes five (5) administrators permission to access its online account and report information and to makes changes to user and account settings.**

2. Does SCEL require check images on CD-ROM or are images via online banking acceptable?

**SCEL RESPONSE – No, SCEL does not require check images on CD-ROM; and yes, check images via online banking are not only acceptable but also preferred.**

3. Would you be willing to accept the proposal electronically via secured email instead of hard copies?

**SCEL RESPONSE – Sealed offers must be submitted in the form of a physical hard copy and sent to one of the two (2) addresses listed on the Cover Page of the solicitation (either the mailing or physical address). Refer to page 3 of the solicitation, "IMPORTANT INFORMATION FOR ALL OFFERORS" for bidding instructions and requirements concerning the number of copies and submission format (i.e. hard copy, electronic copy, etc.)**

4. Can you please provide 3 months of current Account Analysis statements?

**SCEL RESPONSE – Refer to Exhibits A and B (Account Analysis Statements – High and Low Volume Months). No additional Account Analysis statements are deemed necessary as Offerors are required to use only the volume of transactions provided in the high volume month (Section 4.2).**

5. Can you please provide a sample of several weeks' worth of ACH transactions (debits, credits) to include number of files transmitted, number of items, total dollar amount, etc.?

**SCEL RESPONSE – Refer to Exhibits A and B (Account Analysis Statements - High and Low Volume Months). The most significant outgoing ACH (debit) transactions are the two transfers made each month to the State for Unclaimed Prizes and Regular Transfers. Monthly and aggregate dollar volumes for these transfers are described in the Primary Account subsection of Section 3.1 (B) (1) on page 18. Incoming ACH transactions and wires (credits) to the Primary Account are minimal (less than 50 per year). ACH files are sent to the bank every hour for the Primary Account. Retailer sweeps are the only significant source of incoming ACH (credit) transactions – refer to the weekly and aggregate dollar volumes provided in the Concentration Account subsection of Section 3.1 (B)(2) on page 19. Note the figures provided in Section 3.1 (B) are for historical reference only and do not guarantee any future balances.**

**END OF AMENDMENT 1**